IAP7 Rec'd PCT/PTO 01 SEP 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 050096PCTUS

DESIGNATED/ELECTED CONCERNING A SUBMISSION	U.S. APPLICATIONINO (III) TOM, See 47 CIRA.5)						
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
PCT/US2005/07265 TITLE OF INVENTION	07 March 2005	05 March 2004					
ATOM TRANSFER RADICAL POLYMERIZATION PROCESS							
APPLICANT(S) FOR DO/EO/US K. Matyjaszewski, L. Bombalski, W. Jakubowski, K. Min, J. Spanswick and IN. Tsarevsky							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. X The US has been elected (Article 31).	. X The US has been elected (Article 31).						
5. X A copy of the International Application	X A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. is attached hereto (required	d only if not communicated by the Internation	nal Bureau).					
b. has been communicated b	y the International Bureau.						
c. 🗵 is not required, as the appl	ication was filed in the United States Receiv	ing Office (RO/US).					
6. An English language translation of th	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. is attached hereto.							
b. has been previously submi	itted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (requ	a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been communicated	b. have been communicated by the International Bureau.						
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. have not been made and	d. have not been made and will not be made.						
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
O. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
items 11 to 20 below concern document(s	s) or information included:						
11. An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.	A preliminary amendment.						
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.	A substitute specification.						
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.						
17. A computer-readable form of the seq	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published Inter	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English langua	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (III Known, see 57/CFM1.5) INTERNATIONAL APPLICATION NO. PCT/US2005/07265			050096PCTUS			
20. Other items or information:						
The following fees have been submitted			CALCULATIONS	PTO USE ONLY		
21. X Basic national fee (37 CFR 1.492(a))			\$ 300.00			
22. 🔀 Exan	nination fee (37 Cf	FR 1.492(c))				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations\$200			\$ 200.00			
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)			\$100.00			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			¥100.00			
	TOTAL OF 21, 22 and 23 =			<u> </u>	\$600.00	
Additional fe	e for specification	and drawings file	ed in paper over 100 sheets (e	xcluding		
sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).						
The fee is	s \$250 for each ad	ditional 50 sheet	s of paper or fraction thereof.	T -		
Total Sheets	Extra Sheets		Number of each additional 50 or fraction RATE thereof (round up to a whole number)			
- 100 =	/50 =		×\$250		\$	1
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	58	- 20 =	38	x \$50	\$ 1,900.00	
Independent clai	ms 4	- 3 =	1	× \$200	\$ 200.00	
MULTIPLE DEPI	ENDENT CLAIM(S) (if applicable)		+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =			\$ 2,700.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
			\$ 1,350.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						
TOTAL NATIONAL FEE = \$ 1,350.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						
TOTAL FEES ENCLOSED =			\$ 1,350.00			
					Amount to be refunded:	\$
					Amount to be charged	\$

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a. X A check in the amount of \$ 1,350.00 to cover the above fees is enclosed.				
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1110. A duplicate copy of this sheet is enclosed.				
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO: Bernard G. Pike, Esq. Kirkpatrick & Lockhart Nicholson Graham LLP Henry W. Oliver Building 535 Smithfield Street Pittsburgh, PA 15222-2312 REGISTRATION NUMBER				

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IN THE UNITED STATES RECEIVING OFFICE

Group Art Unit:	;
Examiner:	• :
In re application of Matyjaszewski et al.	: Title: : Atom Transfer Radical Polymerization : Process
U.S. Application Serial No.	:
Filing Date: Concurrently Herewith	: : :
International Application No: PCT/US2005/07265	: Attorney Docket No. : 050096PCTUS
International Filing Date: 7 March 2005	•
EXPRESS MA	AIL CERTIFICATE
	Pittsburgh, Pennsylvania 15222 September 1, 2006
Mail Stop: PCT Commissioner for Patents P.O. Box: 1450 Alexandria, VA 22313-1450	
"Express Mail" label numberEQ603942512US	5
Date of Deposit September 1, 2006	
I hereby certify that the following attached	paper(s) or fee:
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are being deposited with the United Postal service under 37 CFR 1.10 on the date indicated ab Commissioner for Patents, P.O. Box: 1450, Alex	
Patricia	I name of person mailing paper or fee) Q. Mackson mailing paper or fee)